

Attorney Docket No. 3001 P 015

PATENT

3628

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

~~Final~~ Application of: Kenneth Kiron and Kevin S. Bander

Application No.: 09/840,583
Confirmation No.: 4046
Filed On: April 23, 2001

For: OPEN END MUTUAL FUND
SECURITIZATION PROCESS

Examiner: Timothy M. Harbeck

Art Unit: 3628

Mail Stop Amendment
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

Dear Sir:

Transmitted herewith is an Amendment in the above-identified Application. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply. The shortened statutory period of response was set to expire on March 1, 2006. A Petition for a Two Month Extension of Time is enclosed herewith setting the time to respond to May 1, 2006. A check in the amount of \$225.00 is enclosed for the extension fee.

No additional fee for the Amendment is required as shown below.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-0280. A duplicate copy of this sheet is attached.

Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra Claims.

Respectfully submitted,

Date: April 28, 2006

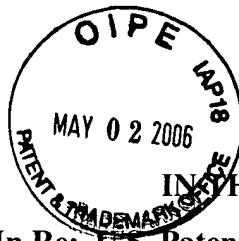
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CERTIFICATE UNDER (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 28, 2006.

Sarah J. Goodnight
Sarah J. Goodnight/244046



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: U.S. Patent Application of)
Kenneth Kiron and Kevin S. Bander) Examiner: Timothy M. Harbeck
Application No. 09/840,583) Conf. No.: 4046
Filed: April 23, 2001) Art Unit: 3628
For: OPEN END MUTUAL FUND) Atty Docket No.: 3001 P 015
SECURITIZATION PROCESS)

REPLY TO DECEMBER 1, 2005 NON-FINAL OFFICE ACTION

MAIL STOP AMENDMENT
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Examiner:

This Reply is in response to the non-final Office Action mailed December 1, 2005. The original period of response to the Office Action is a shortened statutory period of three (3) months from the mailing date. Applicants are filing herewith a Petition for Two Month Extension of Time. Accordingly, the time period for response is extended to May 1, 2006, and this Reply is submitted within that time limit. Reconsideration of the referenced application, including all pending claims, is respectfully requested in light of the amendments and the remarks set forth below.

This Reply is being submitted in a form which complies with revised 37 C.F.R. 1.121. Accordingly, all pending claims are identified by number and appropriate status identifier.

Listing of Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.